

01-04-02

DEC 26 2001

FORM T3-1390 (Modified) (REV 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		DOCKET NUMBER SOEI/0020	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 10/030320	
INTERNATIONAL APPLICATION NO. PCT/JP01/03632		INTERNATIONAL FILING DATE 26 APRIL 2001 (26.04.2001)		PRIORITY DATE CLAIMED 28 APRIL 2000 (28.04.2000)	
TITLE OF INVENTION WAFER SUPPORTING DEVICE IN SEMICONDUCTOR MANUFACTURING APPARATUS					
APPLICANT(S) FOR DO/EO/US YOJI TAKAGI					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<div>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</div> <div>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</div> <div>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.</div> <div>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</div> <div>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2))<div>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</div><div>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</div><div>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</div></div> <div>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<div>a. <input checked="" type="checkbox"/> is attached hereto.</div><div>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</div></div> <div>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))<div>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</div><div>b. <input type="checkbox"/> have been communicated by the International Bureau.</div><div>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</div><div>d. <input checked="" type="checkbox"/> have not been made and will not be made.</div></div> <div>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</div> <div>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</div> <div>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</div> <div>11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</div> <div>12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</div> <div>Items 13 to 20 below concern document(s) or information included:</div> <div>13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</div> <div>14. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</div> <div>15. <input type="checkbox"/> A FIRST preliminary amendment.</div> <div>16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</div> <div>17. <input type="checkbox"/> A substitute specification.</div> <div>18. <input type="checkbox"/> A change of power of attorney and/or address letter.</div> <div>19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</div> <div>20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</div> <div>21. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</div> <div>22. <input type="checkbox"/> Certificate of Mailing by Express Mail</div> <div>23. <input checked="" type="checkbox"/> Other items or information: Letter of Transmittal</div>					

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53) 10/030320	INTERNATIONAL APPLICATION NO. PCT/JP01/03632	ATTORNEY'S DOCKET NUMBER SOEI/0020
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24. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO **\$1040.00**
- ☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO **\$890.00**
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO **\$740.00**
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) **\$710.00**
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) **\$100.00**

ENTER APPROPRIATE BASIC FEE AMOUNT =**\$890.00**

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

\$0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	8 - 20 =	0	x \$18.00	\$0.00	
Independent claims	1 - 3 =	0	x \$84.00	\$0.00	
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				\$0.00	

TOTAL OF ABOVE CALCULATIONS =**\$890.00**

☒ Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.

\$0.00**SUBTOTAL =****\$890.00**

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

\$0.00**TOTAL NATIONAL FEE =****\$890.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).

☒**\$40.00****TOTAL FEES ENCLOSED =****\$930.00**

Amount to be refunded	\$
charged	\$

- a. ☐ A check in the amount of _____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 200782/SOEI20 in the amount of \$930.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 200782/SOEI20 A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

William B. Patterson
Moser, Patterson & Sheridan, LLP
3040 Post Oak Blvd.
Suite 1500
Houston, TX 77056
United States of America

William B. Patterson
SIGNATURE

William B. Patterson
NAME

34,102

REGISTRATION NUMBER

26 December 2001

DATE

10/030320

JC10 PATENT 26 DEC 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: §
 Takagi §
 §
 Serial No.: Unassigned §
 §
 Filed: Herewith §
 §
 For: Wafer Supporting Device §
 In Semiconductor §
 Manufacturing Apparatus §

Group Art Unit: Unknown

Examiner: Unknown

BOX NEW APPLICATION
 Assistant Commissioner for Patents
 Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF EXPRESS MAILING 37 C.F.R. 1.10	
I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail No. EV044734169US, in an envelope addressed to: BOX NEW APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.	
26 DEC 01	<i>William B. Patterson</i>
Date	Signature

LETTER OF TRANSMITTAL

Enclosed for filing are the following documents:

- 1) Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371;
- 2) Combined Declaration and Power of Attorney;
- 3) Recordation Cover Sheet and executed Assignment;
- 4) Verified translation of application;
- 5) WIPO Publication WO 0184622 A1;
- 6) International Search Report;
- 7) Information Disclosure Statement; and,
- 8) Return postcard.

Respectfully submitted,

William B. Patterson

William B. Patterson
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